|                            | 0  |                       |                                      |                   |  | 19°  |  |  |
|----------------------------|--|-----------------------|--------------------------------------|-------------------|--|--|--|--|
| •                          | MAY 0 5 2003   |                       |                                      | Complete if Known |  |  |  |  |
|                            | A STATE OF THE STA |                       | Application Num                      | ber               | 09/700,713                             |  |  |  |
|                            | TRANSMITTAL F  | ORN                   | Filing Date                          |                   | November 27, 2000                      |  |  |  |
|                            | (to be used for all correspondence   | e after in            | First Named Inve                     | entor             | SOMA et al.                            |  |  |  |
|                            |  |                       |                                      | Examiner Name     |  | Shahnan Shah, Khatol S.  |  |  |
|                            |  |                       |                                      | Group Art Unit    |  | 1645   |  |  |
|                            | Total Number of Pa   | ges in T              | his Submission                       | Attorney Docket   | Numbe                                  | er 2935-221  |  |  |
|                            |  | ENCL                  | OSURES (chec                         | k all that apply) | )                                      | ٠  |  |  |
| X                          | Fee Transmittal Form   | Assignment Pap        | pers                                 |                   | After Allowance Communication to Group |  |  |  |
|                            | X Fee Attached   | e Attached Drawing(s) |                                      |                   |  |  |  |  |
| X                          | Amendment/Reply  |                       | Licensing-relate                     | d Papers          |  | Appeal Communication to<br>Board of Appeals and<br>Interferences |  |  |
| ,                          | After Final  |                       | Petition                             |                   |  | Appeal Communication to  |  |  |
| i.                         | Affidavits/declaration(s)  |                       | Petition to Conv<br>Provisional Appl |                   |  | Group (Appeal Notice, Brief,<br>Reply Brief)                     |  |  |
| X                          | Extension of Time Request  |                       | Power of Attorne                     | ev Revocation     |  | Proprietary Information  |  |  |
|                            | Express Abandonment Request  |                       | Change of Correspondence<br>Address  |                   |  | Status Letter  |  |  |
|                            | Information Disclosure<br>Statement  |                       | Terminal Disclai                     | imer              | X                                      | Other Enclosure(s) (please identify below):                      |  |  |
| Certified Copy of Priority |  |                       | Request for Ref                      | und               | U.S.                                   | Patent No. 5,401,727   |  |  |
|                            | Document(s)  |                       | CD, Number of                        | CD(s)             |  |  |  |  |
|                            | Response to Missing Parts/<br>Incomplete Application   |                       |                                      |                   |  | <b>RECEIVED</b>  |  |  |

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REMARKS:

MAY 0 7 2003

## **TECH CENTER 1600/2900**

| SUBMITTED BY            | Complete (if applicable)                     |      |        |                            |  |  |  |  |  |  |
|-------------------------|--|------|--------|----------------------------|--|--|--|--|--|--|
| NAME AND<br>REG. NUMBER | I MICHAELA MICHAIL ETI, D., INCU. NO. 42.013 |      |        |                            |  |  |  |  |  |  |
| SIGNATURE               | Madalla                                      | DATE | 5/5/03 | DEPOSIT ACCOUNT<br>USER ID |  |  |  |  |  |  |

Response to Missing Parts under 37 CFR 1.52 or 1.53

|   |  | 1              | Complete if Known      |                               |              |  |  |  |  |
|---|--|----------------|------------------------|-------------------------------|--------------|--|--|--|--|
|   |  |                | Application Number     | Application Number 09/700,713 |              |  |  |  |  |
| / | PE FEE TRANSMITTAL   | Filing Date    | November 27, 2000      |                               |              |  |  |  |  |
|   | P\ // araa Er  | for FY 2003    | First Named Inventor   | SOMA et al.                   |              |  |  |  |  |
|   | MAY 0 5 2003   | (Large Entity) |                        | Shahnan Shah, Khatol S.       |              |  |  |  |  |
| V | The state of the s |                | Group Art Unit         | 1645                          | RECEIVED     |  |  |  |  |
|   | Total Amount of Payment  | (\$)410.00     | Attorney Docket Number | 2935-221                      | MAY 0 7 2003 |  |  |  |  |

| METHOD OF PAYMENT (check one)                        |  |           |                    |             |          |      |                           | FEE CALCULATION (continued) |           |  |             |  |  |
|--|--|-----------|--------------------|-------------|----------|------|---------------------------|-----------------------------|-----------|--|-------------|--|--|
| 1. X The Commissioner is hereby authorized to charge |  |           |                    |             | char     | 16   | 3. ADDITIONAL FEES TECH C |                             |           | 1600/2900  |             |  |  |
|  | [2   |           | nal fees and cred  |             |          |      | ,0                        | Fee                         | Fee       | NAL FEES TECH CENTER                                 | 1 1000/2000 |  |  |
|  |  |           | Account Numb       |             |          |      | of                        | Code                        | Paid      | Fee Description                                      | Fee Paid    |  |  |
|  |  |           | II, Figg, Ernst &  |             |          |      | •                         | 1051                        | 130       | Surcharge - late filing fee or oath                  | [ ]         |  |  |
|  |  |           | , r 199, E1110t a  | ···anbook   |          |      |                           | 1052                        | 50        | Surcharge - late provisional filing fee              | i i         |  |  |
|  | X Charge any Additional Fee Required Under |           |                    |             |          |      |                           |                             |           | or cover sheet                                       | • •         |  |  |
|  | X  |           |                    | ee Requi    | red Unde | er   |                           | 1053                        | 130       | Non-English specification                            | f 1         |  |  |
|  |  | 37 CFR    | t 1.16 and 1.17    |             |          |      |                           | 1812                        | 2,520     | For filing a request for reexamination               | i i         |  |  |
|  | . —  |           |                    |             |          |      |                           | 1804                        | 920       | Requesting publication of SIR                        | i i         |  |  |
|  | ` 🔲  | Applica   | nt claims small e  | ntity state | ıs       |      |                           |                             |           | prior to Examiner action                             | • •         |  |  |
|  |  |           |                    | •           |          |      |                           | 1804                        | 1,840*    | Requesting publication of SIR                        | r 1         |  |  |
|  | 2. X                                       | Dayma     | nt Enclosed        |             |          |      |                           |                             | .,        | after Examiner action                                |             |  |  |
|  | 2 <sub>,</sub> X                           |           |                    |             |          |      |                           | 1251                        | 110       | Extension for reply within first month               | r 1         |  |  |
|  |  | X         | Check              |             |          |      |                           | 1252                        | 410       | Extension for reply within second month              | [410.00]    |  |  |
|  |  |           | redit Card         |             |          |      |                           | 1253                        | 930       | Extension for reply within third month               | [ ]         |  |  |
|  |  |           |                    |             |          |      |                           | 1254                        | 1,450     | Extension for reply within fourth month              | i i         |  |  |
|  | FEE C                                      | ALCIII    | ATION              |             |          |      |                           | 1255                        | 1,970     | Extension for reply within fifth month               | i i         |  |  |
|  | I LL U                                     | ALCUL     | ATION              |             |          |      |                           | 1401                        | 320       | Notice of Appeal                                     | <b>†</b> 1  |  |  |
|  |  |           |                    |             |          |      |                           | 1402                        | 320       | Filing a brief in support of an appeal               | <b>†</b> 1  |  |  |
|  | 1. FILI                                    | NG FE     | E                  |             |          |      |                           | 1403                        | 280       | Request for Oral Hearing                             | <b>†</b> 1  |  |  |
|  | Fee Fe                                     | ee        |                    |             |          |      |                           | 1451                        | 1,510     | Petition to institute a public use proceeding        | <b>†</b> †  |  |  |
|  | Code                                       | \$        | Fee Description    | n           | Fee F    | Paid |                           | 1452                        | 110       | Petition to revive -unavoidable                      | <b>†</b> †  |  |  |
|  | 1001 7                                     | 50 Ut     | ility filing fee   |             | 1        | 1    |                           | 1453                        | 1,300     | Petition to revive - unintentional                   | <b>;</b> ;  |  |  |
| - \$   | 1002 3                                     |           | sign Filing Fee    |             | i        | i    |                           | 1501                        | 1,300     | Utility issue fee (or reissue)                       | <b>†</b>    |  |  |
| •  |  |           | ant Filing Fee     |             | i        | i    |                           | 1501                        | 470       | Design issue fee                                     | } {         |  |  |
|  | 1004 7                                     |           | issue Filing Fee   |             | Ī        | i    |                           | 1502                        | 630       | Plant issue fee                                      | ļ <u></u>   |  |  |
|  | 1005 1                                     |           | ovisional Filing F |             | i        | i    |                           | 1460                        | 130       | Petitions to the Commissioner                        | 1 1         |  |  |
|  |  |           |                    |             | •        | •    |                           | 1807                        | 50        | Processing fee under 37 CFR 1.17(q)                  | 1 1         |  |  |
|  |  |           | SHE                | OTAL        | \$       |      |                           | 1806                        | 180       | Submission of Information Disclosure Statement       | l J         |  |  |
|  |  |           | 30D1               | UIAL        | Ψ        |      |                           |                             | 40        |  | 1 1         |  |  |
|  | 2 () 4                                     | INAC      |                    |             |          |      |                           | 8021                        | 40        | Recording each patent assignment per property        | f 1         |  |  |
|  | 2. CLA                                     | VIIVIO    |                    |             |          |      |                           | 1809                        | 750       | (times number of properties)                         | r i         |  |  |
|  |  |           |                    | Extra       | _        | _    |                           | 1009                        | 750       | Filing a submission after final rejection            | į j         |  |  |
| •  |  |           |                    | Claims      | Fee      | Fee  |                           | 1810                        | 750       | (37 CFR .129(a)) For each additional invention to be | f 1         |  |  |
|  | Paid                                       |           |                    |             |          |      |                           | 1010                        | 750       | examined (37 CFR 1.129(b))                           | į j         |  |  |
|  | Total Cla                                  |           | ] - 20** =         | [ ] x       | \$18 =   | l    | J                         | 1001                        | 750       |  | r 3         |  |  |
| 1  | Independ                                   | tent _    |                    |             |          | _    | _                         | 1801<br>1802                | 900       | Request for Continued Examination (RCE)              | 1 1         |  |  |
|  | Claims                                     | l         | ]- 3** =           |             | 84 =     | Ĺ    | j                         | 1002                        | 900       | Request for expedited examination                    | t i         |  |  |
|  | Multiple I                                 | Depende   | nt Claims          | +           | 280 =    | Ĺ    | ]                         | 4504                        | 200       | of a design application                              | , ,         |  |  |
|  |  |           |                    |             |          |      |                           | 1504                        | 300       | Publication fee for early, voluntary, or             | į j         |  |  |
|  | _**or num                                  | ber previ | ously paid, if gre | ater;       |          |      |                           | 4505                        | 200       | normal publication                                   | , ,         |  |  |
| - 4  | þ  |           |                    |             |          |      |                           | 1505                        | 300       | Publication fee for republication                    | 1           |  |  |
| ,  | t  |           | SUB                | TOTAL       | \$       |      |                           | 1455                        | 200       | Filing application for patent term adjustment        | ļ           |  |  |
| (  | <b></b>                                    |           |                    |             |          |      |                           | 1456                        | 400       | Request for reinstatement of term reduced            | ļ           |  |  |
| 05/  | D6/2003 H                                  | IDERESS1  | 00000109 0970      | 0/13        |          |      |                           | Other 1                     | ee (speci | ) (N   | ı J         |  |  |
| 01   | FC:1252                                    |           |                    | 410.00      | np       |      |                           | * Redu                      | ced by B: | asic Filing Fee Paid SUBTOTAL                        | \$410.00    |  |  |
| •  |  |           |                    |             |          |      |                           |                             |           |  | <b>*</b>    |  |  |
|  |  |           |                    |             |          |      |                           |                             |           |  |             |  |  |

| SUBMITTED BY   | Complete (if applicable) |      |          |                            |  |  |
|--|--------------------------|------|----------|----------------------------|--|--|
| NAME AND REG. NUMBER Michael J. Moran, Ph. D., Reg. No. 42,013 |                          |      |          |                            |  |  |
| SIGNATURE  | Indael I have            | DATE | 5/8/03   | DEPOSIT ACCOUNT<br>USER ID |  |  |
| *  | V                        |      | <i>,</i> |                            |  |  |